



Assurance Mapping & Co-ordination

James Paterson

The new IIA GIAS emphasises that we must do work that is co-ordinated with others (e.g., Finance, IT, Risk and compliance) and have a consistent process for determining how much to rely on them.

Learn how to measure other assurances (in the first and second lines) and best practices for audit planning using this information.

We will also cover basic assurance mapping techniques to identify gaps and overlaps in the assurance jigsaw i.e. too much monitoring and auditing in some areas and not enough in others.

Learn through numerous practical examples how these techniques give internal audit a way to encourage other functions to improve their work. It can also help different functions to co-operate together – so internal audit isn't the main function raising concerns.

What will I learn?

Upon completion you will be able to:

- Understand how to apply the IIA IPPF standard 2050 and the new GIAS requirements;
- Understand the elements to create an assurance map and achieve assurance coordination,
- Learn how to develop an assurance map for specific areas – Finance, IT, Projects, Outsourcing etc. so the work of audit can be more focused.
- Understand how to improve 1) audit planning and 2) audit assignments
- Benchmark how the three lines model is working in practice in your organisation
- Get more from less by having more co-ordinated working with others

Who should attend?

Heads of internal audit, internal audit managers and anyone whose involved in assurance processes.

Course programme

Definitions and benefits

- IIA and other definitions of assurance maps, assurance coordination and assurance frameworks.

How to start and pitfalls to avoid

- a framework for progressing with assurance maps
- the common pitfalls - not understanding how much “reasonable assurance” is needed and underestimating the importance of being clear about roles and accountabilities.

Practical application of assurance mapping techniques: case studies – financial controls, compliance, projects, streamlined board reporting.

Coordinated ways of working

- frameworks for improving the coordination between audit and assurance providers
- measuring the assurances from others.

Implications for audit planning and assignment delivery

- implications of an assurance approach for audit planning and assignments
- review of progress/plans in your organisation and insights on key areas to prioritise, keeping efforts realistic.

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Price

€ 350
€ 250 (members IIA Greece)



Venue

virtual seminar via
tele-conference

CPE's: 7

ΩΡΕΣ: 8

Καλύπτει τις απαιτήσεις του Ν.4849/2021 για τους Εσωτερικούς Ελεγκτές

Curriculum vitae

James Paterson was the Chief Audit Executive for AstraZeneca from 2002-2009. Prior to that he had various corporate and commercial finance roles, including Head of Group Financial Reporting for AstraZeneca.

He set up a consulting business in 2010 providing consulting, training and coaching in the following key areas:

- Lean/Agile Auditing
- Root Cause Analysis
- Audit strategy and planning
- Risk Assurance Mapping and assurance co-ordination
- Preparing for an EQA
- Auditing Culture and behavioural risk
- Influencing and Political Savvy

He runs open courses with IIA institutes across Europe and now the IIA in the US and does in-house consulting and coaching/team development.

He was a speaker at the IIA International Conferences in 2012, 2014, 2016 and 2023, and was Chair of the EU IAS conference 2017 and 2018.

He has a master's degree in management from McGill University, Canada, specialising in organisational effectiveness/culture change and worked in HR for several years.

He is the author of «Lean Auditing» and “Beyond the Five Whys” (on root cause analysis and systems thinking).

His article «The root of the matter’ won the 2025 Ted Keys award from the IIA US for the best magazine article, its available through this link:

<https://internalauditor.theiia.org/en/articles/2025/august/online-exclusive-internal-auditor-recognizes-best-articles/>



The Institute of
Internal Auditors
Greece

ΕΛΛΗΝΙΚΟ ΙΝΣΤΙΤΟΥΤΟ ΕΣΩΤΕΡΙΚΩΝ ΕΛΕΓΚΤΩΝ
Κέντρο Διά Βίου Μάθησης (Κ.Δ.Β.Μ.)

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